

Discipline & Appeal Rules

Q.1 Rule 3A of Railway Services (Conduct) Rules, 1966 relates to

Answer - Promptness and Courtesy

Q.2 Railway Servant is barred from editing a News paper without prior permission of the Government under of Railway Services (Conduct) Rules, 1966.

Answer - Rule 8

Q.3 Communication of Official information in accordance with Right to Information Act' 2005 is permitted under.....of Railway Services (Conduct) Rules 1966.

Answer - (Rule 11) RBE 22/06.

Q.4 Demanding dowry is prohibited underOf Railway Services (Conduct) Rules, 1966.

Answer - (Rule 13A)

Q.5 A Group C Railway Servant entering into transaction in respect of a movable property exceedingShall report the same to Government within one month. (Rs.15000)

Answer - (Authority: Rule18 (3) RS Conduct Rules) RBE 59/04

Q.6 Sanction of the prescribed authority is necessary for carrying out repairs or minor construction work to immovable property exceeding/ (Rs.10,000) (Authority: Rly. Ministry Decision under Rule 18 E (D&A) 91 GS-1, dt.15.1.91.) (3 months)

Answer - Authority: Proviso to Rule19 (1) of RS Conduct Rules.

Q.7 An ALP is restricted to consume alcohol within of commencement of duty.

Answer - (8 Hours) (Authority: Rule 22(2) © of RS Conduct Rules) RBE 59/04.

Q.8 Rules under RS (D&A) Rules, 1968 is divided inParts.

Answer - Seven.

Q.9 Standard Form used for placing a Railway Servant under Suspension is ...

Answer - SF-1

Q.10 Non employment certificate for payment of Subsistence Allowance during the period of suspension shall be submitted in Standard Form No.

Answer - SF-3

Q.11 Period of suspension, unless reviewed, shall not be valid beyond

Answer - 90 days E (D&A)

Q.12 Where Chairman/RRB is the suspending authority, the Review Committee for reviewing the period of suspension will be constituted by

Answer - Railway Board.

Q.13 Rule 6 of Railway Servants (D&A) Rules 1968 deals with.....

Answer - Penalties.

Q.14 is the lowest penalty under RS (D&A) Rules, 1968

Answer - Censure. (Rule 6(i))

Q.15 In cases where records or appointment letters to establish the actual Appointing Authority are not available should be treated as Appointing Authority.

Answer - General Manager [E(D&A)63 RG6-23 dt.21.2.64]

Q.16 No major penalty shall be imposed without holding Inquiry in the manner specified in Rule 9 & 10 of RS (D&A) Rules or

Answer - Public Servants (Inquiries) Act, 1850] [Rule 9(1)]

Q.17 Standard Form for appointment of Inquiry Officer is

Answer - SF - 7

Q.18 A recognized Railway Trade Union Official can appear as Defence Helper only if he has worked as Office Bearer of such union for continuous period of before such appearance.

Answer - One year [RBE 109/97]

Q.19 Retired Railway servant should not act as Defence Helper in more than cases at a time.

Answer - seven [E(D&A)2002/RG6-13. Dt.14.5.03]

Q.20 Appointment of Inquiry Officer in Common Proceedings shall be made in Standard Form No.....

Answer - 10a.

Q.21 SF-6 is standard form for

Answer - refusing permission to inspect documents

Q.22 Railway servant may be given opportunity for making representation on the penalty proposed to be imposed when such imposition is without Inquiry is in terms of

Answer - Rule 14(i) [Proviso to Rule 14 of RS (D&A) rules]

Q.23 Appeal against a penalty imposed on a Railway servant in respect of his activities connected with his work as an Office Bearer of an Union participating in JCM will lie before

Answer - The President [Rule 19 (3) of RS (D&A) Rules]

Q.24 The prescribed authority may withhold a petition submitted to the President under Rule 25, if it is against an order may enhance the penalty before the submission of the Petition.

Answer - 6 months [Appendix II, R.I] Rule - 25]

Q.25 The time limit for submitting Revision Petition is -

Answer - 45 days [RBE 12/85 and 235/86]

Q.26 The essence of holding Inquiry in the manner provided under Rule 9 of RS (D&A) Rules is to uphold the

Answer - Principle of Natural Justice

Q.27 Subletting of quarters is violation of Of RS (Conduct) Rules 1966

Answer - Rule 15A.

Q.28 The term Dowry does not include dower or mahr in case of persons to whom applies (Shariat/Muslim Personal Law)

Answer - Rule 13A RS (Conduct) Rules.

Q.29 Any statement by a Railway servant embarrassing the relations between Central Government and State Government is in violation of

Answer - Rule 9 of RS (Conduct) Rules 1966

Q.1 In terms of Rule 18(4) of RS (Conduct) Rules, Intimation is to be given by a Group an Officer to the prescribed Authority

a. If cumulative transactions entered by him in shares/securities debentures exceed Rs.50000 in a calendar year

b. If cumulative transactions entered by him in shares/securities debentures exceed Rs.50000 in a calendar month

c. If cumulative transactions entered by him in shares/securities debentures exceedRs.25000 in a calendar year

d. If cumulative transactions entered by him in shares/securities debentures exceed Rs.25000 in a calendar month.

Ans. (a) If cumulative transactions entered by him in shares/securities debentures exceed Rs.50000 in a calendar year

(Authority: Rly Ministry Decision below Rule18 E (D&A) 2007/GS1-1, dt.26.10.07)

Q.2 Powers to Interpret the Rules under Railway Services (Conduct) Rules 1966 is reserved to

a. General Manager

b. CPO

c. Railway Board/

d. The President

Ans. (d) Powers vested with President (Rule 23)

Q.3 Time limit for grant of permission for transactions in immovable property outside India or with foreigners is

a. 60 days

b. 90 days

c. 45 days

d. 30 days

Ans. (a) 60 days (E (D&A) 89 GS1-11, dt.24.10.89)

Q.4 The Railway Servants (Discipline & Appeal) Rules came into force from

a. 01.01.1968

b. 10.10.1968

c. 01.10.1968

d.10.01.1968

Ans. (C) Rule 1, RS (D&A) Rules 1968

Q.5 Railway Servants (D&A) Rules will not apply to

(A) Inspector of Govt. Railway Police

(B) Inspector of RPSF

(C) Inspector of Railway Mail Service

a. A & B b. B & C

b. B & C

c. A & C

d. A, B & C

Ans. (d) Rule 3 of RS (D&A) Rules

Q.6 the period of 48 Hours detention under custody specified for placing a Railway Servant under deemed suspension is not mandatory in case of arrest on registration of a police case under

- a. Sec.302 of Indian Penal Code – Murder**
- b. Sec.307 of Indian Penal Code – Attempt to Murder**
- c. Sec.304 B of Indian Penal Code – Dowry Death**
- d. Sec.498 A of Indian Penal Code – Cruelty and Harassment of Women.**

Ans. (C) (E (D&A) 87 RG6-75, dt.22.7.87 MC-64)

Q.7 Extension of suspension shall not be for a period exceeding

- a. 90 days at a time**
- b. 180 days at a time**
- c. 30 days at a time**
- d. 60 days at a time**

Ans. (a) (E (D&A) 2004/RG6-9, dt.18.7.06)

Q.8 Payment of subsistence Allowance during the period of suspension is regulated by

- a. Rule 5 of RS (D&A) Rules, 1968**
- b. Rule 1342 of R.II**
- c. Rule 5 RS (Conduct) Rules, 1966**
- d. None of the above**

Ans. (b) Rule 1342 of R.II

Q.9 Period of suspension on the death of employee during pendency of the Disciplinary Proceedings shall be treated as

- a. Dies-non**
- b. Period spent on duty**
- c. Period not spent on duty**
- d. Extraordinary Leave**

Ans. (a) (Rule 1345 R.II, MC 64)

Q.10 which of the following Statements are correct

(A) When the pay of an employee is reduced to a particular stage, his pay will remain constant at that stage for the entire period of reduction.

(B) In cases of reduction to lower grade for an indefinite period, repromotion will be ordered only by the authority competent to promote him in higher grade and D.A. has no role to play in the matter.

a. Only Statement A is correct

b. Statements (A) & (B) are correct

c. Only Statement B is correct

d. Both (A) & (B) are not correct

Ans. (a) Only Statement A is correct

Q.11 which of the Following Statements are correct

(A) An order of the D.A. reducing a Railway servant to a lower grade and giving further directions on fixing his pay in the lower grade does not amount to Double Jeopardy.

(B) The Penalty of reduction to a lower grade cannot be imposed by reducing the grade of an employee to a level lower than the grade in which he was originally appointed.

a. Only (A) is correct

b. Only (B) is correct

c. Both (A) & (B) are correct

d. Both (A) & (B) are not correct

Ans. (C) Both (A) & (B) are correct (RBE 302/89 & 68/89)

Q.12 Which of the following documents are not required to be sent to I.O. by the D.A.

a. Articles of Charges

b. Service Particulars of Charged Official

c. Defence Statement

d. Statement of Prosecution Witnesses as well as Defence Witnesses

Ans. (b) Service Particulars of Charged Official [Rule 9(10) of RS (D&A) Rules]

Q.13 Which of the following Statements are correct

(A) Where D.A. is not itself the Inquiring Authority, on consideration of Inquiry Report, he may remit the case to I.O. for further Inquiry.

(B) Inquiry Report along with findings shall be forwarded to C.O. and on receipt of same C.O. may submit written representation to D.A. on such findings.

a. Only (A) is correct

b. Only (B) is correct

c. Both (A) & (B) are correct

d. Both (A) & (B) are not correct

Ans. (C) Both (A) & (B) are correct [Rule 10 of RS (D&A) Rules]

Q.14 Which of the following statements are correct

(A) D.A. on the basis of evidences adduced during the Inquiry shall make an order imposing any of the major penalty

(B) C.O. shall be given opportunity of making representation on the penalty proposed to be imposed.

a. Only (A) is correct

b. Only (B) is correct

c. Both (A) & (B) are not correct

d. Both (A) & (B) are correct

Ans. [a] Only (A) is correct [Rule 10 of RS (D&A) Rules]

Q.15 SF – 9 relates to

a. Disciplinary action in common proceedings

b. Revocation of Suspension

c. Charge sheet for minor penalty with Inquiry

d. None of the above.

Ans. [d] None of the above.

Q.16 An order directing to initiate Disciplinary Action against a Sr.Clerk (P.Way Office), SSE/ P.Way and AEN (Gr.B) in a common proceedings shall have to be issued by

a. The President

b. The Railway Board

c. The General Manager

d. The Principal Chief Engineer

Ans. [b] The Railway Board [Rule 13 & Schedule III of RS (D&A) Rules]

Q.17 Holding of Inquiry before imposition of major penalty of removal is in accordance with

a. Art. 311(1) of the Constitution

b. Art.311 (2) of the Constitution

c. Art. 312 of the Constitution

d. Art. 31 0 of the Constitution

Ans. [b] Art.311 (2) of the Constitution

Q.18 Appeal cannot be made against

a. An order of suspension

b. An order passed by I.O. during the course of Inquiry

c. Denying maximum pension admissible to Railway servant under Rules

d. An order of the Revisionary Authority enhancing the penalty.

Ans. [b] An order passed by I.O. during the course of Inquiry

[Rule 17 of RS (D&A) Rules]

Q.19 Appeal can be made against

(A) An order determining pay and allowances during the period of suspension

(B) An order determining pay and allowances for the period from the date of removal to the date of reinstatement

(C) An order specifying the period between date of dismissal to date of reinstatement a period not spent on duty

(D) An order in the nature of step-in-aid of the final disposal of Disciplinary proceedings

a. (A) (B) & (C)

b. (A) (C) & (D)

c. (A) & (C)

d. (B) & (C)

Ans. [a] (A) (B) & (C) [Rule 18 of RS(D&A) Rules]

Q.20 Which of the following statements are not correct

(A) The orders of the Appellate Authority can be signed and communicated on his behalf the C.O.

(B) Appeal will lie only with the appropriate Appellate Authority on the Division where the employee was working at the time of imposition of penalty, notwithstanding employee's transfer.

a. (A) alone

b. (B) alone

c. Both (A) & (B)

d. None of the above

Ans. [a] (A) alone b. (B) alone [Rule 22(2) and E(D&A)78/RG6-11, dt. 3.3.78]

Q.21 A Group C Railway servant, who has been removed from service, on disposal of application by GM, submitted by him for revision of penalty under Rule 24 of RS (D&A) rules,

a. May seek further Revision before Railway Board under Rule 25

b. Cannot seek further Revision of penalty in terms of Rule 25

c. Suo –moto Revision by GM himself in terms of Rule 25

d. Request to GM to refer the case to Rly Rates Tribunal

Ans. [d] d. Request to GM to refer the case to Rly Rates Tribunal

[Rule 24(2) of RS (D&A) Rules]

Q.22 Who is the Authority competent to exercise Suo-moto Revision without restriction any time limit even when he is the Appellate Authority

a. President

b. General Manager

c. Chairman, Railway Rates Tribunal

d. None of the above

Ans. [a] President [Proviso to Rule 25A & 25(5) of RS (D&A) Rules]

Q.23 Appellate Authority can also exercise Revisionary powers

a. In a case no appeal has been preferred

b. The authority has to be of the rank of DRM

c. Only for conducting suomoto Revision

d. All of the above

Ans. [d] All of the above [RBE 28/2004]

Q.24 When it is proposed to reduce the penalty, suo-moto Revision can be exercised by DRM for a period

- a. Beyond 6 months from the date of order to be revised**
- b. Beyond one year from the date of order to be revised**
- c. Within one year from the date of order to be revised**
- d. Within 6 months from the date of order to be revised**

Ans. [c] Within one year from the date of order to be revised

[Rule 25(5) of RS (D&A) Rules]

Q.25 Review under Rule 25A of RS (D&A) Rules can be exercised by

- a. The DRM**
- b. The General Manager**
- c. The Railway Board**
- d. The President**

Ans. [d] The President

Q.26 The Prescribed authority may withhold the Petition submitted to the President under Rule 31, if it is against termination of service of

- a. A Petitioner appointed on probation, during probation**
- b. A Petitioner dismissed under Rule 14 (ii)**
- c. A Petitioner compulsorily retired under Rule 1802, R.II.**
- d. None o f the above**

Ans. [a] A Petitioner appointed on probation, during probation [Appendix II, R.I]

Q.27 Which of the following Statements are correct

(A) I.O. shall be sufficiently senior to the Charged Official

(B) The stipulation in Statement (A) above will also apply in case of Inquiries conducted by Commissioner of Departmental Inquiries/CVC.

- a. Both (A) & (B) are correct**
- b. (A) is correct but (B) is wrong**
- c. (A) is wrong but (B) is correct**
- d. Both (A) & (B) are not correct**

Ans. [b] (A) is correct but (B) is wrong [RBE 37/2001 & RBE 36/2001]

Q.28 Which one of the following is not a major penalty

- a. Reduction to lower stage for 3 years with cumulative effect**
- b. Withholding of increment for 4 years with cumulative effect**
- c. Reduction to lower grade for 3 years with effect of postponing future increments of pay**
- d. Compulsory Retirement**

Ans. [b] Withholding of increment for 4 years with cumulative effect [Rule 6 read with Rule 11]

Q.29 Which of the following Statements are correct

- (A) Delinquent Railway servant can be transferred to new post during pendency of Disciplinary Proceedings**
- (B) In that case D.A. will be with reference to new post under whose administrative control he was working**

- a. Both (A) & (B) are correct**
- b. (A) is correct but (B) is not correct**
- c. (A) is not correct**
- d. Both (A) & (B) are not correct**

Ans. [a] Both (A) & (B) are correct [E(D&A)69 RG 6-12, 18.6.69]

Q.30 Which of the following penalties specified under Rule 6 does not find place in Article 311 of Constitution

- a. Removal**
- b. Dismissal**
- c. Compulsory Retirement**
- d. Reduction to Lower Grade**

Ans. [d] Reduction to Lower Grade

Q.31 Provisions for placing a Railway servant under deemed suspension is contained in

- a. Rule 3 of RS (D&A) Rules,1968**
- b. Rule 4 of RS (D&A) Rules, 1968**
- c. Rule 5 of RS (D&A) Rules, 1968**
- d. Rule 7 of RS (D&A) Rules, 1968**

Ans. [c] Rule 5 of RS (D&A) Rules, 1968 Rule 5 of RS (D&A) Rules, 1968

Q.32 Which of the following is not penalty under RS (D&A) Rules

- (A) Suspension**
- (B) Compulsory Retirement under Age Review**
- (C) Compulsory Retirement (B)**
- (D) Reversion from officiating higher grade to lower grade on administrative grounds**

- a. (A) (B) & (C)
- b. (A) (B) & (D)
- c. (A) (C) & (D)
- d. (B) (C) & (D)

Ans. [b] (A) (B) & (D) [Rule 6]

Q.33 Which Conduct Rule is correctly matched

- a. Rule 13 Dowry
- b. Rule 12 Subscription
- c. Rule 13A Gift
- d. Rule 9 Staging Demonstration

Ans. [b] Rule 12 Subscription Rule 12 Subscription

Q.34 Authority competent to impose penalty of Dismissal from service on a Railway servant by invoking Rule 14 (iii) is

- a. DRM
- b. General Manager
- c. Member/Railway Board
- d. President

Ans. [d] President

Q.35 The term 'Commission' in RS (D&A) Rules relate to

- a. Central Vigilance Commission**
- b. Administrative Reforms Commission**
- c. Union Public Service Commission**
- d. Planning Commission**

Ans. [c] Union Public Service Commission [Rule 2]

Q.36 Match the following

A. Schedule I X Disciplinary Authorities in respect of Gazetted staff

B. Schedule II Y Disciplinary Authorities in respect of Non Gazetted staff

C. Schedule III Z Authorities competent to order suspension

a. A – X, B – Z, C – Y

b. A – Z, B – Y, C – X

c. A – Y, B – X, C – Z

d. A – Z, B – X, C – Y

Ans. [b] A – Z, B – Y, C – X

Q.37 Match the following

A Schedule I X Disciplinary Authorities in respect of Gazetted staff

B Schedule II Y Disciplinary Authorities in respect of Non Gazetted staff

C Schedule III Z Authorities competent to order suspension

a. A – X , B – Z , C – Y

b. A – Z , B – Y , C – X

c. A – Y , B – X , C – Z

d. A – Z , B – X , C – Y

Ans. [b] A – Z , B – Y , C – X